



GAU 1762.

1-15247

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Kathleen J. Moore  
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Kathleen J. Moore

(typed name of signature)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	]	Group Art Unit: 1762
AXEL NOETHE and STEFAN MENZEL	]	
Serial No. 09/763,481	]	Examiner:
Filed: April 23, 2001	]	
Filing Under 35 U.S.C. 371 in	]	
the DO/EO/US off PCT/DE99/02582	]	
filed 13 August 1999 (13.08.99)	]	
For: METHOD FOR PRODUCING AN	]	Attorney Docket 1-15247
ELECTROCHROMIC LAYER	]	

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July 17, 2001

Commissioner of Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Honorable Sir:

Pursuant to Section 1.97 of Title 37 of the Code of Federal Regulations, and prior to the issuance of a first office action, record is hereby made of published prior art the United States Patent and Trademark Office may wish to consider during examination of the above-entitled application, which was filed under 35 USC 371. No representation is made or intended that a prior art search

was conducted, or that no better art than that listed is available.

<u>U.S. Patent</u>	<u>Date of Issuance</u>	<u>Inventor</u>
4,451,498	May 29, 1984	Hashimoto et al
5,859,723	January 12, 1999	Jodicke et al
6,020,423	February 1, 2000	Jodicke et al

<u>Foreign Country/Patent No.</u>	<u>Date of Publication</u>
Germany DE 43 05 414 A1	August 25, 1994
Germany DE 197 06 918 A1	August 27, 1998
U.K. GB 2 086 601A	May 12, 1982
European EP 0 683 215 A1	July 5, 2000
WIPO WO 96/06203 A	February 29, 1996
WIPO WO 95/31746 A1	November 23, 1995

#### Other Prior Art

None.

Copies of the above-cited foreign and U.S. patent documents are enclosed, along with IDS Form PTO/SB/08A. Both DE 43 05 414 (full English translation enclosed) and WO 96/06203 were cited in 1) the International Search Report (copy attached as Exhibit A) completed by the European Patent Office on February 4, 2000, and 2) the International Preliminary Examination Report completed by the European Patent Office on July 14, 2000. An English translation of the International Preliminary Examination Report is attached as Exhibit B.

Patent documents DE 197 06 918 A1, GB 2,086,601, WO 95/31746 A1, and WO96/06203 A1 were all cited in the earliest

claimed priority of the subject application, i.e., DE 198 39  
299. An English translation of the Abstract of DE 197 06 918  
A1 is also attached.

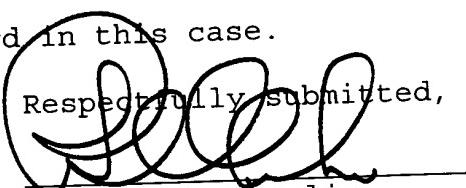
The following patent documents are discussed in more  
detail in the subject specification on the following pages:

DE 197 06 918 A1	Pages 2, 3
EP 0 683 215 A1	Page 2, 3
WO 95/31746 A1	Pages 2, 9 and 14
GB 2,086,601 A	Page 5

and the following patent documents correspond as follows:

WO 95/31746	corresponds to US 5,859,723, US 6,020,423 and EP 0 683 215
GB 2,086,601	corresponds to US 4,451,498

It is requested that these references be fully  
considered and made of record in this case.

Respectfully submitted,  
  
Phillip S. Oberlin  
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